

## **RATIONING AND ALLOCATION PROCESS OF NXX CODES**

**1. RATIONING:** Defined as a fixed quantity of NXX Codes made available for assignment each month during the period an NPA is in extraordinary procedures.

The Code Administrator will make available a monthly quantity of Central Office NXX Codes for Industry Applicants. This monthly quantity of code assignments for each NPA will be identified at each lottery.

The monthly allocation will be periodically reviewed to determine if it should be increased or decreased. This change will depend on if any additional codes are recovered and planned implementation date would change. The industry will be notified of any changes as they occur.

**II. ALLOCATION:** Defines the method for distributing the monthly available codes.

There will be two separate categories of Code Requests. These are Initial Code Requests and Growth Code Requests. Initial Code Requests are first time requests by a code applicant for a code in a particular geographic rate center in the NPA. Growth Code Requests are requests for an additional code in a particular geographic rate center of the NPA where the requester already has one or more codes assigned to them. No one can get a growth code before they have established an initial code.

The monthly code quantity of codes available for assignment will be distributed as follows:

60% of the monthly codes will be designated for Initial Code Requests  
40% of the monthly codes will be designated for Growth Code Requests.

If the percentage yields a fraction, the number will be rounded to the nearest whole number.

### **III. ALLOCATION GUIDELINES**

- 1. All Code Requests for the current monthly allocation must be received by the Code Administrator, by 5:00 P.M. Pacific Time on the second Tuesday of each month.**
- 2. All Code Requests must meet the requirements of the C.O. Code Assignment Guidelines (INC 95-0407-008) to be considered for allocation .**
- 3. All Code Applicants must have a forecast on file to be considered for allocation.**
- 4. There will be no maximum monthly request of codes per applicant or company. However, requests can not exceed what has been forecasted.**
- 5. A monthly lottery process for the selection of applicants will be held for each category, Initial and Growth, when the number of qualified code requests exceeds the number of codes available for that month.**
- 6. If the total monthly allocation is not reached in any month for one category, Initial or Growth, then the remaining codes can be used by the other category.**
- 7. If all of the monthly codes are not used in any month, the remaining quantity will be added to the following month's allocation and the 60%/40% rule will apply.**
- 8. When the allotment for the month is depleted a priority list will be developed. (Priority is defined as a code applicant not receiving any code because that month's allocation had been used.) The code applicants not receiving a code will be placed on the list by their order drawn. These applicants must reapply the following month, but they will be given a priority in receiving the next month's allocation. Code applicants with more than one request for the month who have already received a code will not be placed on the priority list for the following month. They may submit a new request for the next month.**
- 9. In a given month the code priority list from the previous month will be honored before the new lottery selections are assigned codes.**
- 10. A company or applicant may receive more than one code per month, not to exceed their forecast, if all applicants' requests have been addressed and there are still spare codes for that month.**
- 11. The rationing process will be reviewed periodically. Any changes in the total available codes and monthly ration of codes and/or a change in time (months) for implementation of the new NPA will be shared with the industry.**

12. First in priority for any code will be a carrier of last resort with no numbers in a particular wire center and where no other carrier with numbers is willing to provide service in that wire center.

13. Any such carrier of last resort will have first priority in the following month's lottery to avoid denial of customer service.

14. All code applicants (including applicants on the priority list) will have to reapply the following month to be considered for that month's lottery. All due dates will have to be renegotiated at the time the code application is selected.

15. All code applicants shall respond to any data requests by the Telecommunication Division of the California Public Utilities Commission regarding the carriers forecast demand for codes.

16. An NXX assigned from the initial category must be used as an initial code and an NXX assigned from the growth category must be used as a growth code. A code applicant can not have a growth code application in the lottery before they have an initial code assigned for a specific rate area.

#### **IV. LOTTERY PROCESS FOR SELECTING THE ORDER**

1. The lottery will be officiated by an impartial 3rd party (Telecommunications Division of the Public Utilities Commission). Notification of the monthly lottery will be available on the California Public Utilities Commission's daily calendar.

2. California Code Administration (CCA) tells the Telecommunications Division (TD) of the California Public Utilities Commission (CPUC) the number of available NXX codes to be drawn for the month in each category for each NPA.

3. CCA tells the TD the number of eligible applicants and number of code requests for each applicant.

4. TD assigns a letter, A, B, C ..., to each applicant.

5. TD prepares tokens (poker chips). For example: Company A has six initial code requests, TD prepares 6 tokens marked A.

6. TD prepares sealed letters informing each company of its letter designation; company representative signs to receive.

7. TD puts all tokens into drum. TD draws tokens one-by-one and records order on large chart.

#### **FIRST MONTH**

8. TD identifies winners by code letter, TD assigns eligible losers to priority list by code letter.
9. TD notifies CCA of lottery winners and priority list companies by code letter. CCA denies all other code requests for the month.
10. CCA contacts companies to open codes and contacts companies to deny requests and informs companies if they are on next months priority list.

11.

#### SECOND, THIRD... MONTHS

12. TD identifies winners of available codes to carriers on priority list; TD draws new tokens (if there are any codes left) and assigns codes/updates priority list as applicable. TD gives sealed designation letters to companies.
13. TD notifies CCA of results and priority list companies by letter code.
14. CCA contacts companies to open code assignments, deny requests and inform companies if they are on next months priority list. All code applicants for that month will be notified by a part 3 of the Central Office Code Assignment Request Form
15. CCA will file monthly results of the lottery with the CPUC.

#### **V. MONTHLY CODE REQUEST EXAMPLES**

**MONTH 1 -** Six codes are available. Using the 60%/40% rule, 4 codes are for initial, and 2 codes are for growth.

##### **Applicants:**

Company A Initial	Company A Growth
Company A Initial	Company D Growth
Company B Initial	
Company C Initial	

There are 4 initial codes available, and 4 initial applicants this month. The lottery process is not needed because the number of available initial codes equal the number of initial applicants. Company A received two initial codes because all of the initial codes are not used, and all of the initial applicants had receive one initial code. There will not be an initial priority list for initial applicants this month. There are 2 growth code applicants and 2 growth code allocation slots. Each company will get a growth code.

## **MONTH 2**

### **List of Code Applicant**

<b>. Company A Initial</b>	<b>Company E Growth</b>
<b>Company A Initial</b>	<b>Company E Growth</b>
<b>Company B Initial</b>	
<b>Company C Initial</b>	
<b>Company D Initial</b>	
<b>Company E Initial</b>	
<b>Company F Initial</b>	
<b>Company F Initial</b>	

There are 4 initial codes available and 8 applications and 6 companies. Because there are more applicants than available codes the lottery will be used to determine the order and list I shows the companies in the order drawn. Company A was drawn first and they receive an initial code. The next company drawn is Company C, then E and then F. There are no more initial codes available this month for initial applicants. Only those companies that did not receive a initial code this month are eligible for the Initial Priority List. The Initial Priority List for next month will have Company B and Company D on it. The second initial code from Company A is not eligible because they were given an initial code this month. Therefore it will be denied and they will have to submit another request next month. There are 2 growth codes available and they will both go to Company E since there were no other applicants.

### **List I - Initial**

**Company A Initial**  
**Company C Initial**  
**Company E Initial**  
**Company F Initial**

### **List I - Growth**

**Company E Growth**  
**Company E Growth**

### **MONTH 3**

**There are 4 initial codes available and 2 growth codes.**

#### **Applicants:**

**Company A initial  
Company C initial  
Company E initial  
Company F growth  
Company G growth  
Company G growth**

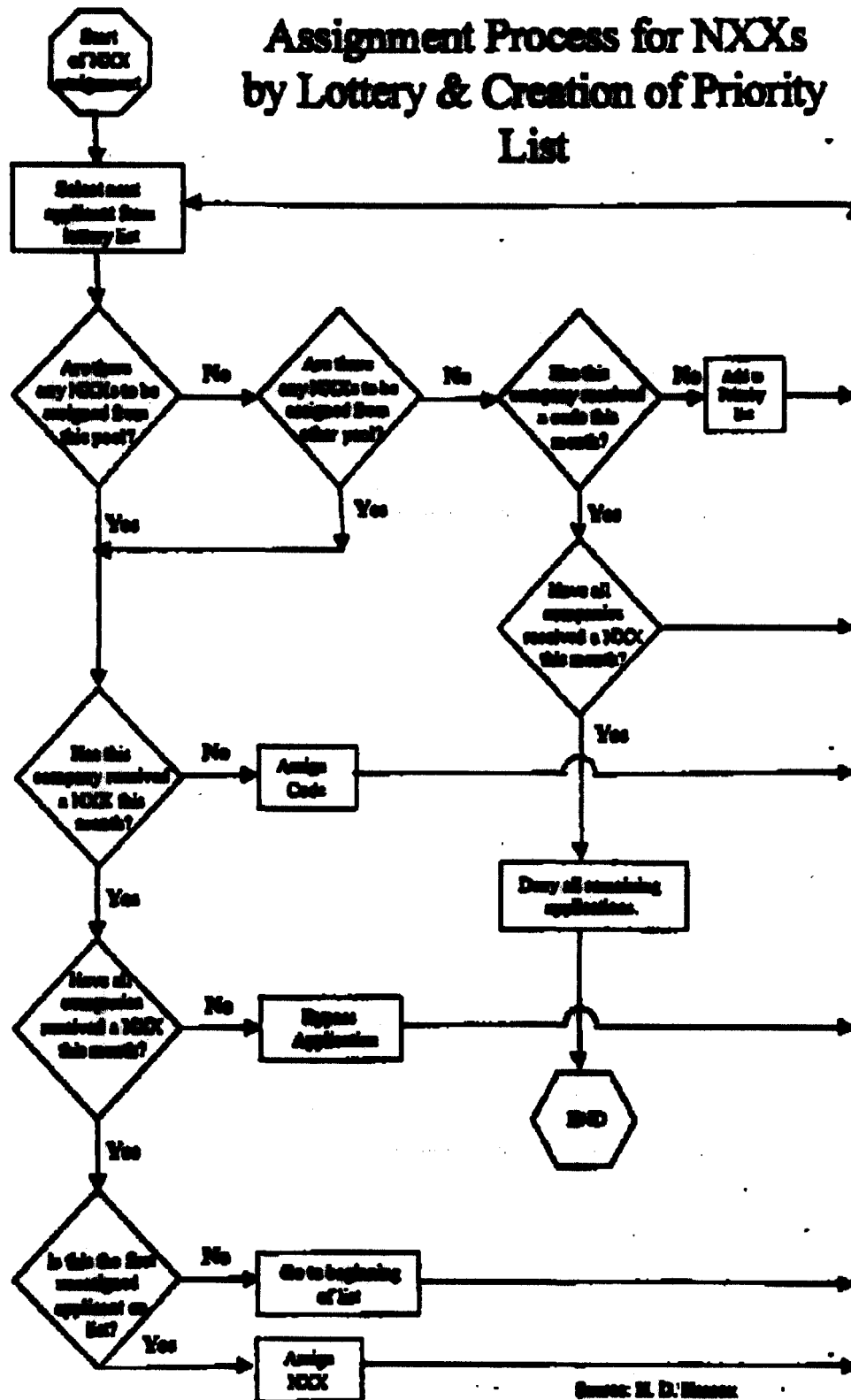
#### **Priority List:**

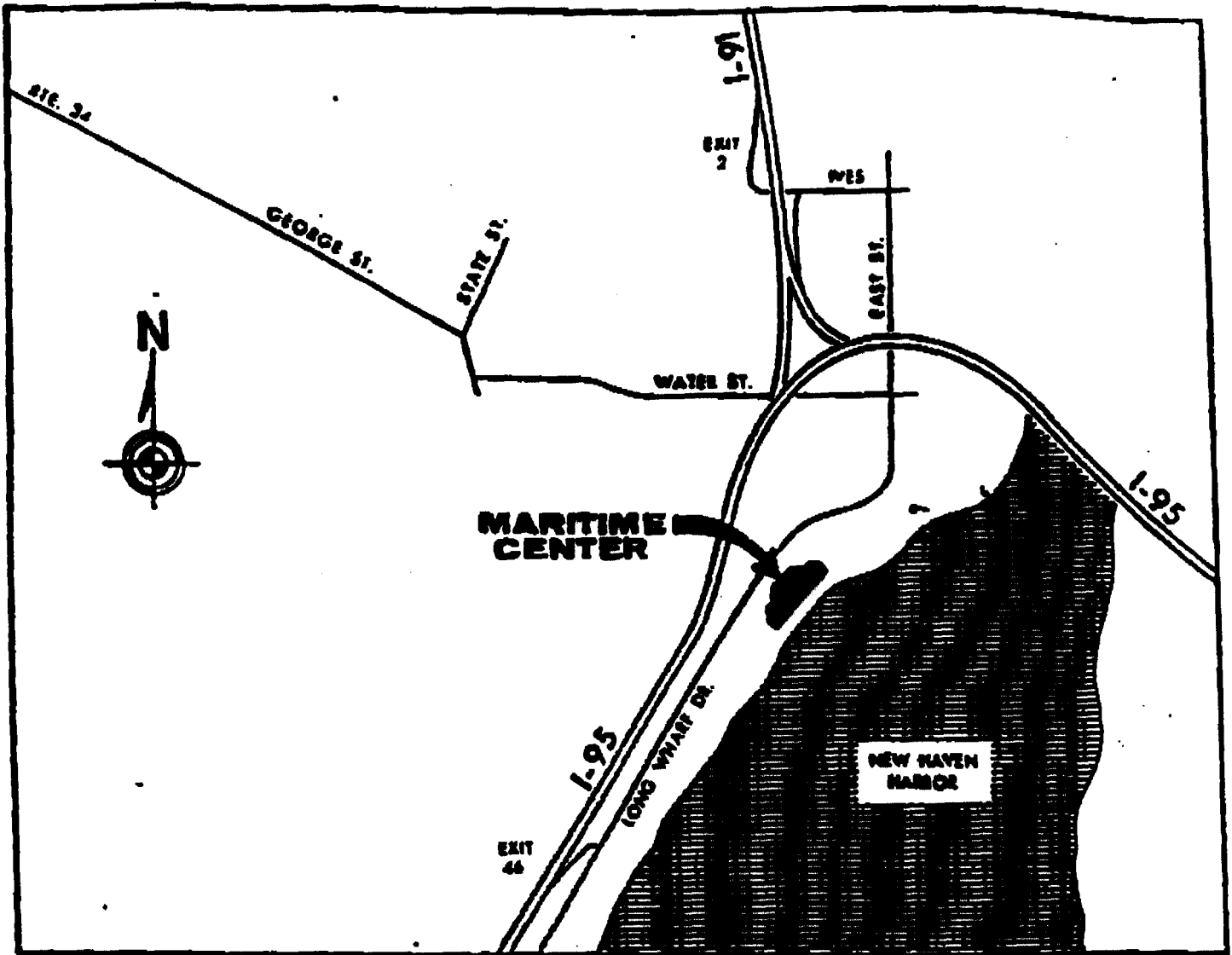
**Company B initial  
Company D initial**

**There are 4 initial codes available. Company B and Company D will get the first two codes since they were on the priority list from last month. There will be a lottery to decide who get the next two codes and which company will go on the priority list for next month. There are two growth codes available and Company F will get one and Company G will get the other. The second request for Company G will be denied and they will have to resupply the following month.**

### **VI. ATTACHED FLOW CHART FOR ASSIGNMENT PROCESS FOR NOCs & CREATION OF PRIORITY LIST**

# Assignment Process for NXXs by Lottery & Creation of Priority List





## **DIRECTIONS TO 555 LONG WHARF DRIVE**

### **FROM THE NORTH**

Take I-91 South to Exit 2, Hamilton Street, continue straight on Ives to East Street, turn right and proceed to building.

### **FROM THE EAST**

Take I-95 West over the 'Q'-Bridge to I-91 North. Take Exit 2, Hamilton Street, continue straight on Ives to East Street, turn right and proceed to building.

### **FROM THE WEST**

Take I-95 East to Exit 46, Long Wharf Drive, turn left and proceed to building.

### **FROM DERBY**

Take State Highway 34, continue on George Street to State Street, turn right and left on Water Street to East Street, turn right and proceed to building.



## EXHIBIT 2



**STATE OF CONNECTICUT**  
**DEPARTMENT OF PUBLIC UTILITY CONTROL**

**DOCKET NO. 96-11-10**

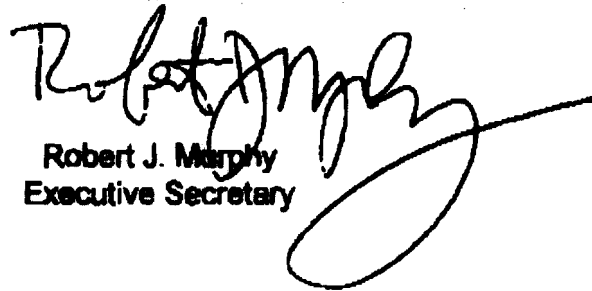
**DPUC REVIEW OF  
MANAGEMENT OF TELEPHONE NUMBERING RESOURCES  
IN CONNECTICUT**

**NOTICE OF TAKING OF ADMINISTRATIVE NOTICE**

The Department of Public Utility Control (Department) hereby takes administrative notice in the above cited docket of The Southern New England Telephone Company's letter dated April 21, 1998, to All NXX Code Holders in Connecticut. The Department is providing copies of this material to Parties and Intervenor admitted to the instant proceeding.

Dated at New Britain, Connecticut, this 30th day of April, 1998.

**DEPARTMENT OF PUBLIC UTILITY CONTROL**

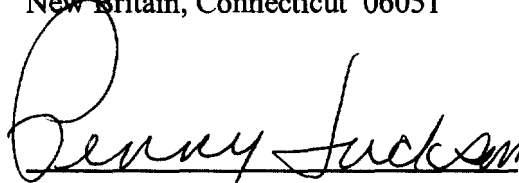
  
Robert J. Murphy  
Executive Secretary

Enc.

**CERTIFICATE OF SERVICE**

I hereby certify that on this 7th day of May 1998, copies of Comments of SNET Cellular, Inc., SNET Mobility, Inc. and Springwch Cellular Limited Partnership, will be sent via First-Class Mail, U. S. postage prepaid, to the following:

Robert J. Murphy, Executive Secretary  
State of Connecticut  
Department of Public Utility Control  
10 Franklin Square  
New Britain, Connecticut 06051

  
Penny Jackson